BARNES & THORNBURG

FEB 0 5 2004 PE

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313 (317) 231-7433 Fax

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group:

Unknown

Confirmation No.:

Unknown

Application No.:

10/698,609

Invention:

CRIB APPARATUS

Applicant:

John W. Koenig et al.

Filed:

October 31, 2003

Attorney

Docket:

7175-73841

Examiner:

Unknown

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on 2/1

(Signature)

Karla I. Mays (Printed Name)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

This statement is filed in the application identified above pursuant to 37 C.F.R. § 1.56. No representation is intended that a complete search has been made of the prior art or that no better art references than listed below are available. The filing of this Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in §1.56(b).

Pursuant to 37 C.F.R. §1.98(d), copies of the references are not required since the listed references were cited during prosecution of parent U.S. Application Serial No. 09/843,899 filed April 27, 2001, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Please charge any fees that might be due in connection with this Information Disclosure Statement to our Deposit Account No. 10-0435. An extra copy of this Information Disclosure Statement is enclosed for that purpose.

Respectfully submitted, BARNES & THORNBURG

Dilip A. Kulkarni Reg. No. 27,510

Indianapolis, Indiana Tel: 317-231-7419

Fax: 317-231-7433

Email: dilip.kulkarni@btlaw.com

INDS02 DAK 632232v1



INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 7175-73841	SERIAL NO. 10/698,609
APPLICANT	10/090,009
John W. Koenig, et al.	
FILING DATE	GROUP
October 21, 2002	Linknown

·			-	October 3	.,	Olikilow	
			U.S. PATEN	NT DOCUMENTS			
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	192,467	Jun. 26, 1877	Stevens		_	
	AB	357,088	Feb. 1, 1887	McGrath			
	AC	565,047	Aug. 4, 1896	Tardis			
	AD	754,140	Mar. 8, 1904	Hollis			
	AE	785,099	Mar. 21, 1905	Herbener			
	AF	824,488	Jun. 26, 1906	Koenig et al.			
	AG	1,107,918	Aug. 18, 1914	Dimmerling			
	AH	1,112,567	Oct. 6, 1914	Sekrit			
	AI	1,242,545	Oct. 9, 1917	Hanger			
	AJ	1,279,064	Sep. 17, 1918	Swingle			
	AK	1,299,366	Apr. 1, 1919	McLaren			
			FOREIGN PAT	ENT DOCUMENT	S		• • • • • • • • • • • • • • • • • • • •
		Document Number	Date	Country	Class	Subclass	Translation Yes No
	AL	DE 296 13 571 U1	Oct. 24, 1996	Germany			X(Abstract Only
	AM						
_	AN						
	AO						
-	AP						
	•	OTHER REFERI	ENCES (Including)	Author, Title, Date,	Pertinent Page	es, Etc.)	
	AR						
	AS						
	AT						
	AU						·- · · · · · · · · · · · · · · · · · ·
	AV						
	AW						
	AX						
	AY						
	AZ						
Examiner	<u> </u>	<u> </u>				Date Consid	ered

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Sheet <u>2</u> of <u>10</u>

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

FEB 0 5 2004

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
7175-73841	10/698,609
APPLICANT	
John W. Koenig, et al.	
FILING DATE	GROUP
October 31, 2003	Unknown

Examiner nitial		Deaument Number	U.S. PATEN	T DOCUMENTS			
nitial		Deciment Number	· · · · · · · · · · · · · · · · · · ·				
E		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	3A	1,321,905	Nov. 18, 1919	Gannon			
E	3B	1,322,616	Nov. 25, 1919	Rece			
E	3C	1,347,393	Jul. 20, 1920	McCreary			
E	3D	1,568,419	Jan. 5, 1926	Rossborough			
E	BE	1,594,256	Jul. 27, 1926	Greene			
E	3F	1,618,447	Feb. 22, 1927	Kusterle			
E	3G	1,649,754	Nov. 15, 1927	Summerson			
E	зн	1,847,916	Mar. 1, 1932	Beaman			
E	31	2,253,824	Aug. 26, 1941	Townsend et al.			
E	3J	2,392,948	Nov. 16, 1946	Reeves			
E	3K	2,442,048	May 25, 1948	Kroll et al.			
			FOREIGN PAT	ENT DOCUMENTS		· · · · · · · · · · · · · · · · · · ·	
		Document Number	Date	Country	Class	Subclass	Translation Yes No
E	3L						
E	зм						
E	BN						
E	30						
E	3P						
		OTHER REFERE	NCES (Including A	uthor, Title, Date, P	ertinent Pag	es, Etc.)	
E	3R						
Ē	38	·					
E	ЗТ				· · · · · · · · · · · · · · · · · · ·		
E	3U						
Ε	3V						-
E	3W						
E	ЗХ						
E	ЗҮ						
E	3Z						
xaminer			· · · · · · · · · · · · · · · · · · ·			Date Conside	red

Sheet <u>3</u> of <u>10</u>

O 1 P E FEB 0 5 2004

U.S. DEPARTMENT OF COMMERCE PATENT AND DEPARTMENT OF COMMERCE

INFORMATION DISCLOSURE STATEMENT

ATTY, DOCKET NO.	SERIAL NO.
7175-73841	10/698,609
APPLICANT	
John W. Koenig, et al.	_
FILING DATE	GROUP
October 31, 2003	Unknown

				Octobe	er 31, 2003		Unkno	wn
			U.S. PATE	ENT DOCUMENT	·s			
*Examiner Initial		Document Number	Date	Name	Clas	ss Su	bclass	Filing Date if Appropriate
	CA	2,555,032	May 29, 1951	Hall				
_	СВ	2,583,858	Jan. 29, 1952	Kostolecki				
	CC	2,590,337	Mar. 25, 1952	McNabb et al.				
	CD	2,592,166	Apr. 8, 1952	McLean et al.				
	CE	2,673,989	Apr. 6, 1954	Veitch				
	CF	2,689,960	Sep. 28, 1954	Lax		ĺ		
	CG	2,827,641	Mar. 25, 1958	Reichert et al.				
	СН	2,870,460	Jan. 27, 1959	Sanford				
	CI	2,913,737	Nov. 24, 1959	McNabb				
	CJ	3,026,543	Mar. 27, 1962	McPhaden				
	СК	3,032,154	May 1, 1962	McNabb		į		
			FOREIGN PA	ATENT DOCUME	NTS			
		Document Number	Date	Country	Class	Subcla	ISS	Translation Yes No
	CL							
	СМ							
	CN							
	СО							-
	СР							
		OTHER REFER	ENCES (Including	Author, Title, Da	te, Pertinent	t Pages, E	tc.)	
	CR							
	CS							
	0.7							
	СТ							
	CU							<u> </u>
	CV							
	••							
	cw							
	CX							
	CY							
	CZ							
Examiner	<u> </u>	<u> </u>				Date C	onsidere	ed

FEB 0 5 2004

Sheet <u>4</u> of <u>10</u>

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY, DOCKET NO.	SERIAL NO.	-
7175-73841	10/698,609	
APPLICANT		
John W. Koenig, et al.		
FILING DATE	GROUP	
October 31, 2003	Linknown	

				October 31,	2003	Unknowr	1
			U.S. PATENT	DOCUMENTS			
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	DA	3,051,270	Aug. 28, 1962	Burzlaff			
	DB	3,055,042	Sep. 25, 1962	Burzlaff			
	DC	3,063,066	Nov. 13, 1962	Peck et al.			
	DD	3,069,700	Dec. 25, 1962	Berlin			
	DE	3,093,838	Jun. 18, 1963	Beasley			
	DF	3,111,332	Nov. 19, 1963	Birch			
	DG	3,305,876	Feb. 28, 1967	Hutt			
	DH	3,335,713	Aug. 15, 1967	Grosholz et al.			
	DI	3,336,608	Aug. 22, 1967	Lerner			
	DJ	3,344,442	Oct. 3, 1967	Andrews et al.			
	DK	3,529,590	Sep. 22, 1970	Grosholz			
	·-··		FOREIGN PATE	NT DOCUMENTS			
		Document Number	Date	Country	Class	Subclass	Translation Yes No
	DL						
	DM						
	DN						
	DO						
	DP						
		OTHER REFERE	NCES (Including Au	ıthor, Title, Date, P	ertinent Page	s, Etc.)	
	DR						
			·				
	DS						
	<u> </u>						
	DT						
	DU						
	DV						
	DW						
	DX						
	DY			•			
	DZ						
Examiner						Date Conside	red

Sheet <u>5</u> of <u>10</u>

FEB 0 5 2004		Sheet_
U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.	SERIAL NO.
PATENT AND TRADSMARK OFFICE	APPLICANT	

INFORMATION DISCLOSURE STATEMENT FILING DATI							TE	G	ROUP	
U.S. PATENT DOCUMENTS										
*Examiner Initial		Document Number	Date		Name		Class	Subcla	ass	Filing Date if Appropriate
	EA	3,634,894	Jar	n. 18, 1972	Hart	oison				
	EB	3,638,247	Fel	b. 1, 1972	Ken	nedy				
	EC	3,648,307	Ма	r. 14, 1972	Mea	de				
	ED	3,654,645	Ар	r. 11, 1972	Lee					
	EE	3,763,507	Ос	t. 9, 1973	Prop	st				
	EF	3,780,387	De	c. 25, 1973	Prop	st				
	EG	3,797,053	Ma	r. 19, 1974	Man	10				
	EH	3,800,341	Ap	r. 2, 1974	Dav	anzo		1		
	EI	3,833,947	Se	p. 10, 1974	Sore	ensen				
	ΕJ	3,848,278	No	v. 19, 1974	Prop	st				
7	EK	3,911,525	Ос	t. 14, 1975	Hau	ssels				
FOREIGN PATENT DOCUMENTS										
		Document Number		Date		Country	Class	Subcla	ass	Translation Yes No
	EL			-						
	EM					. ,				
	EN									**
	EO									
	EP									
•		OTHER REFERE	NCE	S (Including A	Author	, Title, Date, I	Pertinent Pag	es, Etc.)		
	ER					* .		<u>-</u>		
	ES					-				
	ET									
	EU									
	EV									
	EW									
	EX						······································			
	EY									
Evaminar	EZ						· · · · · · · · · · · · · · · · · · ·			

Examiner Date Considered

U.S. DEPARTMENT OF COMMERCE PATENDAND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
7175-73841	10/698,609
APPLICANT	
John W. Koenig, et al.	
FILING DATE	GROUP
October 31, 2003	Unknown

				U.S. PATEN	T DOCUMENTS				
*Examiner Initial		Document Number		Date	Name	Class	Subclass	Filing Date if Appropriate	
	FA	3,921,233	No	ov. 25, 1975	Mann				
	FB	3,971,079	Ju	I. 27, 1976	Fleischer				
	FC	4,017,917	Ap	or. 19, 1977	Brown				
	FD	4,043,349	Au	ıg. 23, 1977	Gays et al.				
	FE	4,139,917	Fe	b. 20, 1979	Fenwick				
	FF	4,285,079	Au	ıg. 25, 1981	Hillman				
	FG	4,336,621	Ju	n. 29, 1982	Schwartz et al.				
	FH	4,359,792	No	v. 23, 1982	Dale				
	FI	4,403,357	Se	p. 13, 1983	Degen				
	FJ	4,525,883	Ju	1. 2, 1985	Necowitz				
	FK	4,633,539	Ja	n. 6, 1987	Morrison				
				FOREIGN PAT	ENT DOCUMENTS			1	
		Document Number		Date	Country	Class	Subclass	Translation Yes No	
	FL								
	FM								
	FN								
	FO								
	FP								
		OTHER REFER	ENC	ES (Including A	Author, Title, Date, P	ertinent Pag	es, Etc.)		
	FR								
	FS								
	FT								
	FU								
	FV								
	FW								
	FX						<u> </u>		
	FY								
	C7								
	FZ								
Examiner							Date Consid	ered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENTAL ADEMARK OFFICE

FEB 0 5 200%

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
7175-73841	10/698,609
APPLICANT	-
John W. Koenig, et al	
FILING DATE	GROUP
	Unknown

					October 3	, 2000	Olikilow	
				U.S. PATEN	NT DOCUMENTS			
Examiner Initial		Document Number		Date	Name	Class	Subclass	Filing Date if Appropriate
	GA	4,670,923	Ju	n. 9, 1987	Gabriel et al.			
	GB	4,768,242	Se	p. 6, 1988	Loturco			
	GC	4,787,104	No	v. 29, 1988	Grantham			
	GD	4,882,799	No	v. 28, 1989	Shapiro			
	GE	4,937,902	Ju	1. 3, 1990	Ceike Shapiro			
	GF	4,944,055	Ju	l. 31, 1990	Shainfeld			
	GG	4,979,246	De	ec. 25, 1990	Godin et al.			
	GH	4,998,320	Ma	ar. 12, 1991	Lange		-9-	
	GI	5,014,391	Ma	ay 14, 1991	Schulte			
	GJ	5,067,183	No	v. 26, 1991	Urquiola			
	GK	5,115,539	Ma	ay 26, 1992	Lee			
			F	OREIGN PAT	ENT DOCUMENTS	S		
		Document Number		Date	Country	Class	Subclass	Translation Yes No
	GL						ļ	
	GM							
	GN							
	GO							
	GP			<u> </u>				<u> </u>
	-	OTHER REFER	ENC	ES (Including .	Author, Title, Date,	Pertinent Pag	jes, Etc.)	
	GR							
	GS							
	GT							
	61							
	GU							
	GV							
	GW				·			
	GX			***************************************				
	GY							
	GZ							
Examiner							Date Consid	ered

Sheet <u>8</u> of <u>10</u>

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMENT OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
7175-73841

APPLICANT
John W. Koenig, et al.

FILING DATE
October 31, 2003

SERIAL NO.
10/698,609

GROUP
Unknown

					October	31,	2003	Unkr	nown
	_			U.S. PATEN	NT DOCUMENTS	3			
Examiner Initial		Document Number		Date	Name		Class	Subclass	Filing Date if Appropriate
	HA	5,149,036	Se	p. 22, 1992	Sheehan				
	НВ	5,242,375	Se	p. 7, 1993	McDonough				
	HC	5,291,623	Ma	ar. 8, 1994	Artz				
	HD	5,303,450	Ap	r. 19, 1994	Lange				
	HE	5,329,657	Ju	l. 19, 1994	Bartley et al.				
	HF	5,330,415	Ju	l. 19, 1984	Storti et al.				
	HG	5,377,372	Ja	n. 3, 1995	Rudolf et al.				
	НН	5,379,469	Ja	n. 10, 1995	Millis et al.				
	HI	5,453,077	Se	p. 26, 1995	Donnelly et al.				
	HJ	5,535,457	Ju	.l. 16, 1995	Welsh et al.				
	нк	5,537,701	Ju	l. 23, 1996	Elliott				
			F	OREIGN PAT	TENT DOCUMEN	ITS	_		*
		Document Number		Date	Country		Class	Subclass	Translation Yes No
	HL								
	нм								
	HN							<u> </u>	
,	но					ļ			
	HP								
		OTHER REFER	ENCE	S (Including)	Author, Title, Date	e, P	ertinent P	ages, Etc.)	
	HR								
	нѕ								
	HT								
	HU			··,					
	HV								
	HW								
	нх						· · · · · · · · · · · · · · · · · · ·		
	HY								
	HZ			•					
Examiner	l							Date Consid	lered

Examiner Date Considere

FEB 0 5 2004

Sheet <u>9</u> of <u>10</u>

U.S. DEPARTMENT OF COMMERCES PATENT AND TRADEMARK OF THE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	SERIAL NO.	
7175-73841	10/698,609	
APPLICANT		-
John W. Koenig, et al.		
FILING DATE	GROUP	
0 1 1 04 0000	1	

				·····	October 3	1, 2003	Unknow	n
				U.S. PATE	NT DOCUMENTS			
Examiner Initial		Document Number		Date	Name	Class	Subclass	Filing Date if Appropriate
	IA	5,553,337	Se	ep. 10, 1996	Lin			
	IB	5,579,550	De	ec. 3, 1996	Bathrick et al.			
	IC	5,604,941	Fe	eb. 25, 1997	Roman			
	ID	5,634,796	Ju	n. 3, 1997	Dollar			
	IE	5,742,959	Ar	or. 28, 1998	Froelich			
	IF	5,802,634	Se	ep. 8, 1998	Onishi et al.			
	IG	5,862,548	Ja	n. 26, 1999	Gerhart			
	IH	5,882,208	Ma	ar. 16, 1999	Fulop			
	II	5,889,469	Ма	ay 4, 1999	Pinto et al.			
	IJ	388,191	Αι	ıg. 21, 1888	Furl et al.			
	IK	5,230,523	Ju	l. 27, 1993	Wilhelm			
			1	FOREIGN PAT	TENT DOCUMENT	S		
		Document Number		Date	Country	Class	Subclass	Translation Yes No
	IL							
	IM							
	IN							
	10							
	IP							
		OTHER REFER	ENC	ES (Including I	Author, Title, Date,	Pertinent Pag	jes, Etc.)	
	IR							
								· - · · · · · · · · · · · · · · · · · · ·
	IS							
	IT				***************************************			
	''							
	IU							
	IV			· <u></u>			-	
	IW							
	IX				· · · · · · · · · · · · · · · · · · ·			
	ΙΥ							
	IZ					,		
Examiner							Date Consid	ered

Examiner Date Considered

O 1 P E FEB 0 5 2004

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADE MARKED FOR THE PATENT AND THE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
7175-73841 10/698,609

APPLICANT
John W. Koenig, et al.

FILING DATE GROUP
October 31, 2003 Unknown

					October 3	1, 2003	Unknow	<u>'() </u>
				U.S. PATE	NT DOCUMENTS			
*Examiner Initial		Document Number		Date	Name	Class	Subclass	Filing Date if Appropriate
	JA	3,686,696	Au	ıg. 29, 1972	Lanigan			
	JB	4,768,242	Se	p. 6, 1988	LoTurco			
	JC	6,089,654	Ju	l. 18, 2000	Burstein			
	JD	6,339,855	Ja	n. 22, 2002	Socha et al.			
	JE	3,921,233	No	ov. 25, 1975	Mann			
	JF	4,854,913	Au	ıg. 8, 1989	Kelley et al.			
	JG	2,733,455	Fe	b. 7, 1956	Stuart et al.			
	JH	2,402,286	Ju	n. 18, 1946	Jeary			
	JI							
	JJ							
	JK							
			F	OREIGN PAT	ENT DOCUMENT	S		
		Document Number		Date	Country	Class	Subclass	Translation Yes No
	JL							
	JM							
	JN							
	10							
	JP							
		OTHER REFER	ENCI	ES (Including)	Author, Title, Date,	Pertinent Pag	ges, Etc.)	
	JR							
	JS							
				- <u>-</u>			···	
	JT							
	JU							
	30							
-	JV			<u></u>				
İ								
	JW							
	JX		-			···· <u>-</u> ····		
	JY							<u> </u>
	JZ					<u> </u>		
Examiner							Date Consid	ered